Registration Services Department
400 S. LaSalle Street
Chicago, IL 60605
(312) 786-7449 – Phone
(312) 786-8140 – Fax
www.cboe.org

FLEXIBLE EXCHANGE (FLEX) OPTIONS TRADER APPLICATION FOR QUALIFIED MARKET-MAKER, FLOOR BROKER OR ORDER ENTRY FIRM

The undersigned Chicago Board Options Exchange (CBOE) Trading Permit Holder hereby applies to become eligible to trade FLEX Options in the following capacity(ies):

☐ Individual FLEX Qualified Market-Maker
☐ Individual Floor Broker
☐ FLEX Qualified Market-Maker Organization
☐ Order Entry Firm

Trading Permit Holder wishes to trade the following FLEX Options:

☐ FLEX Index Options
and/or
☐ FLEX Equity Options

The undersigned Trading Permit Holder has read and understands the rules, regulations and financial obligations as stated in Chapters XXIVA and XXIVB of the CBOE Rules as they apply to FLEX Options.

Individual Trading Permit Holders (if applicable):

Individual Trading Permit Holder Name (including Nominees) _____ Acronym_____

_____ Date _____
(Individual Trading Permit Holder Signature)

Trading Permit Holder Organizations (if applicable):

TPH Organization Name _____ Acronym_____

Login ID _____

Name of Authorized Signatory of TPH Organization _____

_____
(Signature of Authorized Signatory of TPH Organization)

Title_____ Date_____

LETTER OF GUARANTEE/AUTHORIZATION

In connection with the FLEX Option qualification of the above named Trading Permit Holder(s), the undersigned Clearing Trading Permit Holder guarantees and assumes financial responsibility for (i) all FLEX Options transactions in open outcry on CBOE of the above named Trading Permit Holder(s) and (ii) all FLEX Options transactions on CBOE resulting from orders, bids, offers, and other messages that are transmitted through any login access to CBOE provided to the above named Trading Permit Holder(s). The Clearing Trading Permit Holder guarantees and assumes financial responsibility for such transactions on CBOE even if orders, bids, offers or other messages transmitted to CBOE through any login access to CBOE provided to the above named Trading Permit Holder(s) (i) were entered as a result of a failure in applicable security and/or credit controls, (ii) were entered by an unknown or unauthorized user, or (iii) exceeded Clearing Trading Permit Holder's credit parameters.

Clearing Trading Permit Holder _____ OCC #:_____

Clearing Trading Permit Holder Representative (print) _____

Clearing Trading Permit Holder Representative (Signature) _____

Clearing Trading Permit Holder Representative Title_____ Date_____