



**Cboe Options Regulatory Circular RG17-191**  
**C2 Options Regulatory Circular RG17-075**

**Date: December 29, 2017**

**To: Cboe and C2 Options Trading Permit Holders From: Cboe Trade Desk**

**RE: Cboe and C2 Options Notices**

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Commencing on January 1, 2018, Cboe Options and C2 Options (“the Exchanges”) will be changing the manner in which notices are disseminated to Trading Permit Holders (“TPHs”) and market participants. These changes are described below.

**Exchange Notices**

Effective January 1, 2018, the Exchanges will no longer distribute Information Circulars. Instead, the Exchanges will distribute Exchange Notices. Exchange Notices will address items such as system and functionality changes; trading policy changes; products and listings; market data policies, requirements and fees; fee and rebate changes; trading schedules; network maintenance; and general Exchange administration and information.

Exchange Notices are available on the [Exchange Notices Page](#) of the markets.cboe.com website and are currently divided into five categories. These five categories may change over time as the integration of Cboe and Bats technology and procedures continues. The current categories are System Status, Fee Schedule, Trade Desk Updates, Schedule Updates, and Delistings. Each category is accessible from a separate link on the Exchange Notices Page. This page will now include notices for all four Options Exchanges of Cboe including Cboe Options, C2 Options, BZX Options and EDGX Options.

In addition to being posted on the markets.cboe.com website, Cboe Options and C2 distribute Exchange Notices by e-mail to interested parties included on the Exchange distribution lists. The Exchange also issues Exchange Notices related to futures and equities traded on other Cboe exchanges. Any person that would like to be included on an e-mail distribution list for notices may e-mail the Trade Desk at [tradedesk@cboe.com](mailto:tradedesk@cboe.com) or [cfetradedesk@cboe.com](mailto:cfetradedesk@cboe.com) and request to be added to the e-mail distribution list for **options, equities, and/or futures** notices that are related to **Fee Schedule Updates, Schedule Updates, Trade Desk Updates** (e.g., platform feature/function updates), and/or **Delistings**.

An archive of the information circulars previously issued by Cboe Options will continue to be maintained in the [Information Circulars](#) link on the [Cboe Legal and Regulatory Information](#) page of the Cboe website for reference purposes. Similarly, an archive of the information circulars previously issued by C2 Options will continue to be maintained in the [Information Circulars](#) link on the [C2 Legal and Regulatory Information](#) page of the Cboe website for reference purposes.



## **Regulatory Circulars**

The Exchanges will continue to issue Regulatory Circulars. Regulatory Circulars address items such as regulatory obligations of Exchange participants; position limits; and product information and other rule changes not covered in Exchange Notices.

Regulatory Circulars will continue to be posted to the [Cboe Regulatory Circulars](#) and [C2 Regulatory Circulars](#) pages of the Cboe website.

Effective on January 1, 2018, the Exchanges will no longer disseminate regulatory circulars by e-mail or through a myCboe e-mail subscription on the Cboe website. TPHs and others may receive notice when Cboe and C2 Options issue regulatory circulars by subscribing to the RSS feed for Cboe and/or C2 Options Regulatory Circulars on the [RSS Feed Subscription Page](#) of the Cboe website.

## **Rule Filings**

Rule filings submitted to the Securities and Exchange Commission (“SEC”) for the Exchanges will continue to be posted to the [Cboe Options Rule Filings](#) and [C2 Options Rule Filings](#) pages of the Cboe website. Additionally, the current [Cboe Options Rulebook](#) and [C2 Options Rulebook](#) will continue to be posted to the Cboe website.

Cboe and C2 Options no longer disseminate rule filings by e-mail or through a myCboe e-mail subscription on the Cboe website. TPHs and others may receive notice when Cboe and/or C2 Options submits a rule filing by subscribing to the RSS feed for Cboe and/or C2 Options rule filings on the [RSS Feed Subscription Page](#) of the Cboe website.

## **Monitoring for Regulatory Circulars and Rule Filings**

TPHs have an obligation to comply with Cboe and C2 Options rules. Accordingly, TPHs should monitor the Cboe website for regulatory circulars and rule filings through RSS feeds or otherwise, review those regulatory circulars and rule filings, and take any actions necessary to comply with regulatory obligations of the Exchanges.

## **Additional Information**

Please contact the Trade Desk with any questions regarding Exchange Notices.

### **Trade Desk**

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