



The Bylaws and Rules of Chicago Board Options Exchange, Incorporated ("Exchange"), in certain specific instances, require the Exchange to provide notice to Exchange Trading Permit Holders. To satisfy this requirement, a copy of the Exchange Bulletin, including the Regulatory Bulletin, is delivered by e-mail or by hard copy free of charge to all effective Trading Permit Holders on a weekly basis.

Trading Permit Holders are encouraged to receive the Exchange and Regulatory Bulletin and Information Circulars via e-mail. E-mail subscriptions may be obtained by Trading Permit Holders by submitting your name, firm if applicable, e-mail address, and phone number, to registration@cboe.com. If you do sign up for e-mail delivery, please remember to inform the Registration Services Department of e-mail address changes. Subscriptions by Trading Permit Holders for hard copy delivery may be obtained by submitting your name, firm if any, mailing address and telephone number to: Chicago Board Options Exchange, Registration Services Department, 400 South LaSalle, Chicago, Illinois 60605, Attention: Bulletin Subscriptions.

Copyright © 2013 Chicago Board Options Exchange, Incorporated

Trading Permit Information for 12/19/2013 THROUGH 12/25/2013

TRADING PERMIT APPLICATIONS RECEIVED FOR WHICH BULLETIN PUBLICATION IS REQUIRED

TPH Organization Applicants

NASDAQ Execution Services LLC
Michael West, Nominee
One Liberty Plaza, 165 Broadway, 51st Floor
New York, NY 10006
Norway Acquisition LLC - Sole Member
The NASDAQ Stock Market LLC - Sole Member
The NASDAQ OMX Group Inc. - Sole Member
Linda Crane - Financial/Operations Principal
David E. Lawn - Chief Operating Officer
Venu M. Palaparathi - CEO/Chief Compliance Officer/Secretary

EFFECTIVE TRADING PERMIT HOLDERS

Individuals

Nominee:	Effective Date
Matthew G. Bente (MGB) Belvedere Trading LLC Type of Business to be Conducted: Market Maker	12/23/13
Andrew Michael Clouthier (AMC) MNR Executions LLC Type of Business to be Conducted: Floor Broker	12/23/13

TERMINATIONS

Individuals

Nominee:	Termination Date
Thomas W Nichol (NKL) Belvedere Trading LLC	12/23/13

Research Circulars

The following Research Circulars were distributed between December 20, 2013 and December 26, 2013. If you wish to read the entire document, please refer to the CBOE website at www.cboe.com and click on the "Trading Tools" Tab. New listings and series information is also available in the Trading Tools section of the website. For questions regarding information discussed in a Research Circular, please call The Options Clearing Corporation at 1-888-OPTIONS.

Research Circular #RS13-703
December 20, 2013
SPDR S&P Dividend ETF ("SDY")
SPDR S&P Pharmaceuticals ETF ("XPH")
Capital Gains Distributions
Ex-Distribution Date: December 20, 2013

Research Circular #RS13-704
December 20, 2013
Ciena Corporation ("CIEN")
To Move and Begin Trading on NYSE
Effective Date: December 23, 2013

Research Circular #RS13-705
December 23, 2013
CBOE Holdings, Inc. ("CBOE")
CONTRACT ADJUSTMENT FOR SPECIAL CASH DIVIDEND
Ex-Date: December 31, 2013

Research Circular #RS13-706
December 23, 2013
Belo Corp. Series A ("BLC") Merger COMPLETED
with Gannett Co., Inc. ("GCI") – Cash Settlement

Research Circular #RS13-707
December 23, 2013
Steward Enterprises, Inc. Class A ("STEI") Merger COMPLETED
with Service Corporation International ("SCI") – Cash Settlement

Research Circular #RS13-709
December 23, 2013
Vanguard Intermediate-Term Corporate Bond ETF ("VCIT")
Capital Gains Distribution
Ex-Distribution Date: December 24, 2013

Research Circular #RS13-710
December 23, 2013
Simcere Pharmaceutical Group ("SCR") Merger COMPLETED
with Simcere Holding Limited – Cash Settlement

Research Circular #RS13-711
December 23, 2013
EXCO Resources, Inc. ("XCO")
Rights Distribution
Ex-Rights: December 24, 2013

Research Circular #RS13-712
December 23, 2013
Spreadtrum Communications, Inc. ("SPRD") Merger
COMPLETED
with Tsinghua Unigroup Ltd. – Cash Settlement

Research Circular #RS13-713
December 23, 2013
WisdomTree Dreyfus Chinese Yuan Fund ETF ("CYB")
WisdomTree Chinese Japan Hedged Equity Fund ETF ("DXJ")
WisdomTree Emerging Markets Local Debt Fund ETF ("ELD")
Capital Gains Distributions
Ex-Distribution Date: December 24, 2013

Research Circular #RS13-714
December 23, 2013
Vanguard Intermediate-Term Bond ETF ("BIV")
Capital Gains Distribution
Ex-Distribution Date: December 24, 2013

Research Circular #RS13-715
December 26, 2013
The India Fund, Inc. ("IFN")
Capital Gains Distribution
Ex-Distribution Date: December 27, 2013

Research Circular #RS13-716
December 26, 2013
PAA Natural Gas Storage, L.P. ("PNG") Proposed Merger
with Plains All American Pipeline, L.P. ("PAA")

Research Circular #RS13-717
December 26, 2013
OCZ Technology Group Inc ("OCZ")
Move and Begin Trading on the Other-OTC Market and
Stock Symbol and Option Symbol Change to ("OCZTQ")
Effective Date: December 27, 2013

Research Circular #RS13-718
December 26, 2013
POZEN Inc. ("POZN")
CONTRACT ADJUSTMENT FOR SPECIAL CASH
DISTRIBUTION
Ex-Date: December 31, 2013

December 27, 2013

Volume RB24, Number 52

The Bylaws and Rules of Chicago Board Options Exchange, Incorporated ("Exchange"), in certain specific instances, require the Exchange to provide notice to Trading Permit Holders. The weekly Regulatory Bulletin is delivered to all effective Trading Permit Holders to satisfy this requirement. Copyright © 2013 Chicago Board Options Exchange, Incorporated.

Regulatory Circulars

Regulatory Circular RG13-164

Date: December 20, 2013**To: Trading Permit Holders****From: Regulatory Services Division****RE: Prearranged Trades**

This Regulatory Circular restates Chicago Board Options Exchange, Incorporated's ("Exchange") policy concerning prearranged trading. Trading Permit Holders are cautioned that any purchase or sale, transaction or series of transactions, coupled with an agreement, arrangement or understanding, directly or indirectly to reverse such transaction which is not done for a legitimate economic purpose or without subjecting the transactions to market risk, violates Exchange Rules and may be inconsistent with various provisions of the Securities Exchange Act of 1934, as amended, (the "Act") and rules thereunder. All transactions must be effected in accordance with applicable trading rules, must be subject to risk of the market, and must be reported for dissemination.

Section 9(a)(1) of the Act prohibits any member of a national securities exchange, for the purpose of creating a false or misleading appearance of active trading in any security registered on a national securities exchange, or a false or misleading appearance with respect to the market for any such security, (A) from effecting any transaction in such security which involves no change in the beneficial ownership thereof, or (B) from entering an order or orders for the purchase (sale) of such security with the knowledge that an order or orders of substantially the same size, at substantially the same time, and at substantially the same price, for the sale (purchase) of any such security, has been or will be entered by or for the same or different parties.

Prearranged trading could result in a violation of CBOE Rule 4.1, which prohibits conduct inconsistent with just and equitable principles of trade, Rule 6.45A or 6.45B which addresses the priority of bids and offers, or Rule 10b-5 of the Act, which prohibits any act, practice or course of business which operates or would operate as a fraud or deceit upon any person, in connection with the purchase or sale of any security, respectively.

Additional Information:

Please contact the Regulatory Interpretations and Guidance team at RegInterps@cboe.com or (312) 786-8141 for additional information.

(Regulatory Circular RG13-095, reissued)

Regulatory Circular RG13-165

Date: December 26, 2013
To: Trading Permit Holders
From: Market Operations Department
RE: Restrictions on Transactions in OCZ Technology Group Inc (OCZ)

Effective December 27, 2013, OCZ Technology Group Inc (OCZ) will move its listing from the NASDAQ Capital Market to the Other-OTC market and change its symbol to OCZTQ. Therefore, trading in OCZTQ options will be subject to restrictions.

Trading on CBOE in existing series of OCZTQ options will be subject to the following restrictions. Only closing transactions may be affected in any series of OCZTQ options except for (i) opening transactions by Market-Makers executed to accommodate closing transactions of other market participants and (ii) opening transactions by CBOE TPH organizations to facilitate the closing transactions of public customers executed as crosses pursuant to and in accordance with CBOE Rule 6.74(b) or (d).

The execution of opening transactions in OCZTQ options, except as permitted above, and/or the misrepresentation as to whether an order is opening or closing, will constitute a violation of CBOE rules, and may result in disciplinary action. TPH organizations should ensure that they have appropriate procedures in place to prevent their customers from entering opening orders in this restricted option class. In addition, transactions in contravention of this restriction may be subject to nullification pursuant to Exchange Rule 6.25.

There are no restrictions in place with respect to the exercise of OCZTQ options.

Any questions regarding this circular may be directed to Kerry Winters at helpdesk@cboe.com and (312) 786-7312 or the Regulatory Interpretations and Guidance team at RegInterps@cboe.com and by telephone at (312) 786-8141.

CBOE restricted class memos can be accessed from CBOE.org at the following web address: <http://www.cboe.org/Restrictions>