Regulatory Circular RG12-136

DATE: October 5, 2012

TO: Trading Permit Holders

FROM: Business Development Division

RE: New "J" Origin Code For Joint Back Office Orders

Beginning November 1, 2012, CBOE plans to make available a new order origin code. Orders with an origin code of "J" will indicate the order is for a joint back office (JBO) account to be cleared into the Firm range at the OCC. The "J" origin code will be required as of November 1, 2012 for any JBO order that is to clear into the Firm range at the OCC, and the "F" origin code should no longer be sent on such orders. Orders for JBO accounts that are to clear into the Customer range at the OCC may continue to be marked with an origin code of "B." Note the "J" origin must not be used prior to November 1, 2012. (Please refer to Regulatory Circular RG12-057 for additional information on the existing order origin code requirements for JBO orders that will remain in effect through October 31, 2012.)

Transaction fees for orders with the "J" origin code will be listed separately on the CBOE fee schedule.

Since the new marking will be required and not optional on orders for JBO accounts that clear into the Firm range at the OCC, CBOE is notifying order routing firms in advance so they may make necessary changes in time for the November 1 activation of the new "J" origin code.

Questions regarding this circular may be directed to:

- CBOE Help Desk at (866)728-2263 or helpdesk@cboe.com
- Anthony Montesano at (312)786-7365 or montesan@cboe.com

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